



Practitioner's Docket No: 195.35

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
ARND  
2/7/02

In re application of: **TONNIES, Jan G.**

Application No.: **09/787,342**  
Filing Date: **March 16, 2001**

Group: 3738  
Examiner: Unknown

For: **INFUSION PUMP COMPRISING A COMPUTER FOR CALCULATING THE RESPECTIVE MAXIMUM PERMISSIBLE DOSAGE**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231  
ATTENTION: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

**I. REFUND REQUEST**

This is a request for a refund, with respect to the charge to Deposit Account **12-0551**, as shown on the statement dated April 30, 2001, for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

OFFICE OF FINANCE  
REFUND SECTION  
JUN 22 AM 8:42  
US PATENT & TRADEMARK  
OFFICE

LARSON & LARSON,  
ATTORNEYS  
AT  
LAW

11199-69th STREET N.  
LARGO, FL 33773-5504  
PH. 727-546-0660  
FAX 727-545-1595

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Lynn A. Raffin  
Signature

Date: 6-13-01

LYNN A. RAFFIN  
(type or print name of person certifying)



## II. FEES CHARGED FOR WHICH REFUND REQUESTED

### AMOUNT OF REFUND REQUESTED

Filing with EPO or JPO Search Report (Small Entity) \$430.00

Claims - Multiple Dependent (Small Entity) \$135.00

**TOTAL REFUND REQUESTED \$565.00**

## III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The filing fee for a "Small Entity" in the application submitted under 35 U.S.C. 371 on 03/16/2001 was incorrectly charged under **Fee Code 971** as "Filing with EPO or JPO Search Report", and **Fee Code 969** as "Claims - Multiple Dependent" for the total amount of **\$565.00**. The correct filing fee should have been charged under **Fee Code 961** for a "Small Entity" as "USPTO not ISA or IPEA" in the amount of **\$500.00**. A copy of the USPTO Fee Schedule is attached herewith for reference. Applicant therefore requests a refund to Deposit Account No. 12-0551 for the amount of \$565.00, and the filing fee be properly charged in the amount of \$500.00 as described above.

## IV. MANNER OF REFUND

Please make refund by crediting Account No. **12-0551**.

LARSON & LARSON,  
ATTORNEYS  
AT  
LAW

11199-69th STREET N.  
LARGO, FL 33773-5504  
PH. 727-546-0660  
FAX 727-545-1595

Reg. No. 37,867  
Tel. No.: (727) 546-0660

**Customer No.: 22497**

SIGNATURE OF PRACTITIONER

James E. Larson  
LARSON & LARSON, P.A.  
11199 - 69th Street North  
Largo, FL 33773-5504

U:\Lynn\WORK\Patents\DEP-ACCT.195

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## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



Account No.	120551
Date	4-30-01
Page	1

LARSON & LARSON, P.A.  
HERBERT W. LARSON  
11199-69TH STREET NORTH

FINA

LARGO FL 33773

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	2	01	2	- 08570605	1726.2	220	155.00	5262.00
4	3	01	93	76231926	754.14	361	325.00	4937.00
4	3	01	94	76231928	754.19	361	975.00	3962.00
4	3	01	102	76231976	1462.1	361	650.00	3312.00
4	3	01	103	76231977	1462.1	361	650.00	2662.00
4	4	01	35	09791959	722.80	105	130.00	2532.00
4	4	01	158	76233199	119.104	361	325.00	2207.00
4	5	01	9	E-REPLENISHMENT		701	-5000.00	7207.00
4	5	01	50	- 1149628	119.106	372	100.00	7107.00
4	5	01	51	- 1149628	119.106	365	400.00	6707.00
4	5	01	141	75903678	808.3	364	150.00	6557.00
4	6	01	41	29139604	1479.0	206	160.00	6397.00
4	9	01	178	- 75833711	1225.8	481	40.00	6357.00
4	9	01	179	- 75833711	1225.8	482	50.00	6307.00
4	9	01	275	- 1937234	686.0	372	100.00	6207.00
4	9	01	276	- 1937234	686.0	373	200.00	6007.00
4	10	01	59	- 5731757	818.0	283	425.00	5582.00
4	10	01	60	- 5715762	300.65	183	850.00	4732.00
4	10	01	293	29132097	892.5	243	220.00	4512.00
4	10	01	294	29132097	892.5	561	30.00	4482.00
4	11	01	51	- 1947790	419.5	372	100.00	4382.00
4	11	01	52	- 1947789	419.4	372	100.00	4282.00
4	12	01	18	09829660	1474.0	201	355.00	3927.00
4	12	01	620	PCT/US99/21791	1275.1	190	490.00	3437.00
4	12	01	621	PCT/US99/21791	1275.1	803	137.00	3300.00
4	13	01	23	E-REPLENISHMENT		701	-5100.00	8400.00
4	13	01	37	09832279	1442.0	201	355.00	8045.00
4	16	01	12	75425463	814.1A	363	100.00	7945.00
4	16	01	248	29115846	119.101	243	220.00	7725.00
4	16	01	249	29115846	119.101	561	30.00	7695.00
4	17	01	60	09787342	195.35	961	-500.00	8195.00
4	17	01	61	09787342	195.35	971	430.00	7765.00
4	17	01	62	09787342	195.35	969	135.00	7630.00
4	18	01	2	- 5704548	392.17	283	425.00	7205.00
4	18	01	3	- 5718671	1440.12	283	425.00	6780.00
4	19	01	155	- 5713949	638.6	283	425.00	6355.00

AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
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\*\*\* O.D. INDICATES OVERDRAWN

Fee Code	37 CFR	Description	Fee	Small Entity Fee (if applicable)
<b>Patent Extension Fees</b>				
115/215	1.17(a)(1)	Extension for response within first month .....	110.00	55.00
116/216	1.17(a)(2)	Extension for response within second month .....	390.00	195.00
117/217	1.17(a)(3)	Extension for response within third month .....	890.00	445.00
118/218	1.17(a)(4)	Extension for response within fourth month .....	1,390.00	695.00
128/228	1.17(a)(5)	Extension for response within fifth month .....	1,890.00	945.00
<b>Patent Appeals/Interference Fees</b>				
119/219	1.17(b)	Notice of appeal .....	310.00	155.00
120/220	1.17(c)	Filing a brief in support of an appeal .....	310.00	155.00
121/221	1.17(d)	Request for oral hearing .....	270.00	135.00
<b>Patent Petition Fees</b>				
122	1.17(h)	Petitions to the Commissioner, unless otherwise specified .....	130.00	
098	1.17(i)	Processing fee, except in provisional applications .....	130.00	
194	1.17(i)	Request for voluntary publication or republication .....	130.00	
138	1.17(j)	Petition to institute a public use proceeding .....	1,510.00	
140/240	1.17(l)	Petition to revive unavoidably abandoned application .....	110.00	55.00
141/241	1.17(m)	Petition to revive unintentionally abandoned application .....	1,240.00	620.00
126	1.17(p)	Submission of an Information Disclosure Statement .....	180.00	
123	1.17(q)	Processing fee for provisional applications .....	50.00	
091	1.17(t)	Acceptance of an unintentionally delayed claim for priority .....	1,240.00	
111	1.20(j)(1)	Extension of term of patent .....	1,120.00	
124	1.20(j)(2)	Initial application for interim extension (see 37 CFR 1.790) .....	420.00	
125	1.20(j)(3)	Subsequent application for interim extension (see 37 CFR 1.790) .....	220.00	
<b>PCT Fees - National Stage</b>				
956/957	1.492(a)(1)	IPEA - U.S. ....	690.00	345.00
958/959	1.492(a)(2)	ISA - U.S. ....	710.00	355.00
960/961	1.492(a)(3)	USPTO not ISA or IPEA .....	1,000.00	500.00
962/963	1.492(a)(4)	Claims meet PCT Article 33(1)-(4) - IPEA - U.S. ....	100.00	50.00
970/971	1.492(a)(5)	Filing with EPO or JPO search report .....	860.00	430.00
964/965	1.492(b)	Claims - extra independent (over three) .....	80.00	40.00
966/967	1.492(c)	Claims - extra total (over twenty) .....	18.00	9.00
968/969	1.492(d)	Claims - multiple dependent .....	270.00	135.00
154/254	1.492(e)	Oath or declaration after twenty or thirty months from priority date .....	130.00	65.00
156	1.492(f)	English translation after twenty or thirty months from priority date .....	130.00	
<b>PCT Fees - International Stage</b>				
150	1.445(a)(1)	Transmittal fee .....	240.00	
151	1.445(a)(2)	PCT search fee - no U.S. application .....	700.00	
153	1.445(a)(2)	PCT search - prior U.S. application .....	450.00	
152	1.445(a)(3)	Supplemental search per additional invention .....	210.00	
190	1.482(a)(1)	Preliminary examination fee - ISA was the U.S. ....	490.00	
191	1.482(a)(1)	Preliminary examination fee - ISA not the U.S. ....	750.00	
192	1.482(a)(2)	Additional invention - ISA was the U.S. ....	140.00	
193	1.482(a)(2)	Additional invention - ISA not the U.S. ....	270.00	
<b>PCT Fees to WIPO or EPO</b>				
800		Basic application fee (first thirty pages) .....	382.00*	
801		Basic supplemental fee (for each page over thirty) .....	9.00*	
803		Handling fee .....	137.00*	
899		Designation fee per country .....	82.00*	
802		International search (EPO) .....	846.00*	

\* WIPO and EPO fees subject to periodic change due to fluctuations in exchange rate. Refer to the *Official Gazette of the United States Patent and Trademark Office* for current amounts.

**PAYMENTS FROM FOREIGN COUNTRIES MUST BE PAYABLE AND IMMEDIATELY NEGOTIABLE IN THE UNITED STATES FOR THE FULL AMOUNT OF THE FEE REQUIRED**